



STATE OF MAINE

OFFICE
OF THE
SECRETARY OF STATE

MATTHEW DUNLAP
SECRETARY OF STATE

February 1, 2017

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Mr. Grant Pennoyer, Executive Director
Maine State Legislative Council
115 State House Station
Augusta, ME 04333-0115

Dear Mr. Pennoyer,

Maine Revised Statutes Title 5, §8053-A, sub-§5, provides that by February 1st of each year, the Secretary of State shall provide the Executive Director of the Legislative Council with lists, by agency, of all rules adopted by each agency in the previous calendar year. I am pleased to present the report for 2016.

The list must include, for each rule adopted, the following information:

- A) The statutory authority for the rule and the rule chapter number and title;
- B) The principal reason or purpose for the rule;
- C) A written statement explaining the factual and policy basis for each rule;
- D) Whether the rule was routine technical or major substantive;
- E) If the rule was adopted as an emergency; and
- F) The fiscal impact of the rule.

In 2016, there were 232 rules adopted by 24 agencies. Following is a list of the agencies with the number of rules adopted:

Agency	Total Rules	Routine Technical	Major Substantive	Emergency	Non Emergency
Department of Agriculture, Conservation and Forestry	31	30	1	12	19
Department of Professional and Financial Regulation	31	31	0	0	31
Department of Corrections	5	5	0	0	5
Department of Education	6	5	1	0	6
Department of Environmental Protection	14	11	3	0	14
Department of Inland Fisheries and Wildlife	17	17	0	1	16
Department of Health and Human Services	53	48	5	13	40
Department of Labor	2	2	0	0	2
Department of Marine Resources	25	25	0	12	13
Department of Public Safety	2	1	1	0	2

Agency	Total Rules	Routine Technical	Major Substantive	Emergency	Non Emergency
Department of Transportation	3	3	0	0	3
Department of Administrative and Financial Services	14	14	0	0	14
Department of Economic and Community Development	1	1	0	0	1
Secretary of State	5	5	0	0	5
Public Utilities Commission	4	4	0	0	4
Maine Health Data Organization	2	0	2	0	2
Commission on Governmental Ethics and Election Practices	2	2	0	0	2
Maine Public Employee Retirement System	4	4	0	0	4
Finance Authority of Maine	2	2	0	0	2
Motor Carrier Review Board	1	1	0	0	1
Commission on Indigent Legal Services	2	0	2	0	2
Maine State Housing Authority	4	4	0	0	4
Maine Turnpike Authority	1	1	0	0	1
Maine Rural Development Authority	1	1	0	0	1
Totals for 2016	232	217	15	38	194

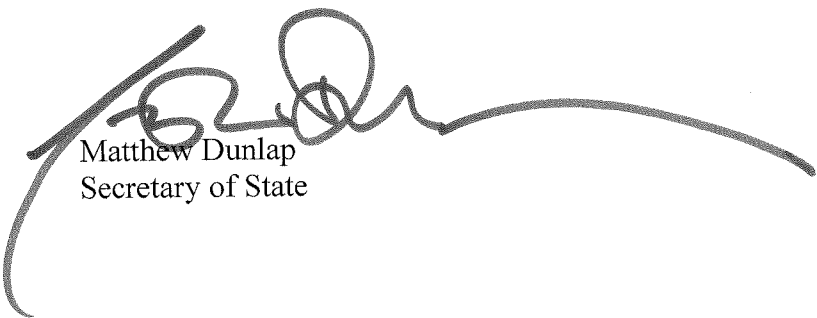
The report is presented in multiple files:

- 1) An Excel spreadsheet, sorted by agency, that includes for each rule -- the agency name and umbrella unit and the rule chapter number, title, statutory authority, effective date, the rule type (i.e. routine technical or major substantive) and whether the rule is an emergency rule. The internal log number used by the APA office is also included so that this Bureau can easily find the complete filing if requested; and
- 2) A Word document for each agency that includes the above information for each rule, as well as the principal reason or purpose for adopting the rule, the basis statement and the fiscal impact of the rule. This information was extracted from the documentation that is required to be filed with our office for each adoption.

If further information is requested for any rule, our office can provide the complete filing which includes additional information including the dates and locations of any hearings held on the proposed rules and public comments received regarding the rule.

If you have any questions regarding the Administrative Procedure Act, please contact me at 626-8400 or Julie Flynn, Deputy Secretary of State at the Bureau of Corporations, Elections and Commissions at 624-7650.

Sincerely,



Matthew Dunlap
Secretary of State