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STATE OF MAINE
ONE HUNDRED AND TWENTY-EIGHTH LEGISLATURE
COMMITTEE ON VETERANS AND LEGAL AFFAIRS

March 22, 2017

To: Senator James M. Hamper, Representative Drew Gattine, Chairs
Members, Joint Standing Committee on Appropriations and Financial Affairs

From: Senator Garrett P. Mason, Representative Louis J. Luchini, Chairs

RE: LD 390 – Biennial Budget Report

This memo represents a partial report on the portions of the biennial budget (LD 390) which pertain to the policy areas under jurisdiction of the Veterans and Legal Affairs Committee. The committee decided to reserve reporting on two elements of the budget:

- The first, regarding the transfer of administration of non-profit beano, games of chance and high-stakes beano from a division within the Department of Public Safety to the Gambling Control Unit (Pages 25-31 of voting sheet, initiative reference #s 3058-3061 and 3075-3080 or pages A-549-550 and A-553-554 in the budget document):
- The second, regarding the request for an appropriation of \$375,000 for each year of the biennium from the Department of Defense, Veterans and Emergency Management, Bureau of Veterans Services (Page 18 of voting sheet, initiative reference #982 or page A-164 in the budget document).

Beano, games of chance and high-stakes beano transfer to Gambling Control Unit: At the joint public hearing before your committee, the Department of Public Safety presented testimony regarding language in the budget document, sections IIIII, JJJJJ, KKKKK, and OOOOO. As presented in the budget document, these sections propose a simple transfer of administration and oversight of non-profit gaming and high-stakes beano to the director Gambling Control Unit. Testimony presented that day (by Janet Joyeaux) indicated that the department was taking a different approach. An (undrafted) amendment was proposed in testimony, which the department referred to as “deregulation” of these games. The department testified that language to implement this change would be provided at a later date. In the period of time between that public hearing and the first budget briefing held by VLA, the department indicated that their proposal would be taking yet another form. On March 6th, the VLA committee was presented with basic outline of a proposal which was stated to ease regulation of some charitable gaming, by implementing a registration process as an alternative to licensure. This proposal also included a re-draft of the current chapters in Title 17 (chapters 13-A and 62), in the form of a 62 page amendment. On March 17th, the committee was presented with another amendment (46 pages). Unfortunately, due to the change of direction of the department’s proposals and the volume of language which requires review by the committee, we

are unable to offer an informed report of the committee's position on these language parts and the corresponding initiatives.

Veterans Services Emergency Financial Services: The committee is waiting for information from the Department of Defense, Veterans and Emergency Management, Bureau of Veterans Services regarding a request for \$375,000 in FY-18 and again in FY-19 to implement the purposes of Title 37-B, §505. Title 37-B, §505 authorizes the bureau to offer financial assistance to veterans who have financial need and have experienced a crisis or are awaiting a pension claim from the VA. The department recently received an appropriation of \$375,000 in the emergency supplemental budget for the purposes outlined in §505. The proposal for this money, as presented to VLA at a committee budget briefing on March 6th goes beyond what the statute currently authorizes. The proposal presented by the Bureau of Veterans Services is to offer this money in the form of grants to experienced organizations currently equipped to provide financial assistance to veterans, such as the Veterans Count Program of Easter Seals. However, as noted, current law does not authorize the bureau to distribute or grant money to other organizations for this purpose. Thus, a statutory change would be required to permit distributing money in this manner. The committee has not expressed any opposition to this proposal, but we have not been provided written information about how the department will determine who receives the money, any limits on its use, or a plan for post-distribution accounting regarding how it has been spent or distributed. There is a possibility that this language could be developed as part of a department bill which has yet to be printed and referred (reference to VLA anticipated). Until the committee has the information it requires regarding this initiative, we will reserve our recommendation.

Keno: The remaining language provision in our report is Part BB under the Department of Administrative and Financial Services, Bureau of Alcoholic Beverages and Lottery Operations, which proposes to allow KENO by removing restriction enacted last session under LD 516 (PL 2015, c. 96). That law prohibits the Lottery from offering games such as Keno. Part BB of the budget would strike this language, permitting the lottery to operate games such as KENO.

The committee voted unanimously (13-0) to **reject** Part BB.

Finally, with the exception of two initiatives presented in testimony by the Commission on Governmental Ethics and Election Practices, the committee has unanimously supported all of the other initiatives (with the exception of those tabled as noted above).

Maine Clean Election Act Fund Transfers (two initiatives): Two initiatives were presented in testimony by the Commission on Governmental Ethics and Election Practices as proposed amendments to the budget. The first is an advance of the statutory annual transfer of \$3 million to the MCEA Fund from January 1, 2019 to June 1, 2018. The second is a one-time transfer of \$1.7 million from the general fund to the MCEA fund in response to the 2015 successful citizen initiated law.

Those in support of the two initiatives (7):

Senator Carpenter and Representatives Luchini, Longstaff, Schneck, Monaghan, Hickman, and Casas

Those in opposition (6):

Senators Mason and Collins, Representatives Farrin, Dillingham, Hanington and White

Sec. A-1. Appropriations and allocations. The following appropriations and allocations are made.

ADMINISTRATIVE AND FINANCIAL SERVICES, DEPARTMENT OF

Alcoholic Beverages - General Operation 0015

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	14.000	14.000	13.500	13.500
Personal Services	\$961,344	\$965,658	\$911,414	\$931,402
All Other	\$682,355	\$683,002	\$683,002	\$683,002
GENERAL FUND TOTAL	\$1,643,699	\$1,648,660	\$1,594,416	\$1,614,404
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$19,190	\$19,190	\$19,190	\$19,190
OTHER SPECIAL REVENUE FUNDS TOTAL	\$19,190	\$19,190	\$19,190	\$19,190
	History 2015-16	History 2016-17	2017-18	2018-19
STATE ALCOHOLIC BEVERAGE FUND				
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$300,480	\$294,538	\$262,360	\$269,402
All Other	\$11,533,800	\$11,533,800	\$11,533,800	\$11,533,800
STATE ALCOHOLIC BEVERAGE FUND TOTAL	\$11,834,280	\$11,828,338	\$11,796,160	\$11,803,202

Justification:

The mission of the Bureau with respect to liquor operations is to effectively regulate the distilled spirits industry to ensure responsible business practices and create a favorable economic climate while prohibiting sales to minors. Maine is one of 18 Control State jurisdictions in the country. Control states regulate alcohol sales by controlling its retail and/or wholesale distribution and price. In Maine, distilled spirits are available only at licensed on and off premise establishments that have been inspected and approved by the Bureau. In July 2014, the Bureau resumed its overall management of the spirits business. The Bureau awarded, through a competitive bidding process, two fee for services contracts for spirits administration including warehousing, distribution to agency liquor stores, inventory and financial management and spirits trade marketing. The Bureau is responsible for the registration of all distilled spirits and sets a uniform price for the more than 3,200 products. The Bureau administers the laws and regulations of the State of Maine.

**ALCOHOLIC BEVERAGES - GENERAL OPERATION 0015
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	14.000	14.000	13.500	13.500
Personal Services	\$961,344	\$965,658	\$911,414	\$931,402
All Other	\$682,355	\$683,002	\$683,002	\$683,002
GENERAL FUND TOTAL	\$1,643,699	\$1,648,660	\$1,594,416	\$1,614,404
OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$19,190	\$19,190	\$19,190	\$19,190
OTHER SPECIAL REVENUE FUNDS TOTAL	\$19,190	\$19,190	\$19,190	\$19,190
STATE ALCOHOLIC BEVERAGE FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$300,480	\$294,538	\$262,360	\$269,402
All Other	\$11,533,800	\$11,533,800	\$11,533,800	\$11,533,800
STATE ALCOHOLIC BEVERAGE FUND TOTAL	\$11,834,280	\$11,828,338	\$11,796,160	\$11,803,202

Lottery Operations 0023

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
STATE LOTTERY FUND				
POSITIONS - LEGISLATIVE COUNT	22.000	22.000	22.000	22.000
Personal Services	\$1,549,276	\$1,531,521	\$1,578,122	\$1,601,458
All Other	\$2,319,536	\$2,319,536	\$2,319,536	\$2,319,536
STATE LOTTERY FUND TOTAL	\$3,868,812	\$3,851,057	\$3,897,658	\$3,920,994

Justification:

The mission of the Bureau with respect to lottery operations is to be a consistent revenue producer to the state and provide exceptional services to the public while managing the state's lottery enterprise through dynamic product development and distribution. The Bureau is a sales and marketing organization that provides entertaining products to the public. The lottery's goals are met by research, game design, effective distribution and quality promotions. The lottery maximizes the use of technology in order to produce a complete product line; formulate specific strategies to address market demands; enhance our product design and availability and increase our game portfolio value to consumers while developing a partnership between the lottery, its vendors and retail agents. The Bureau administers the laws and regulations of the State of Maine.

Lottery Operations 0023

Initiative: Provides funding for anticipated increases in marketing and operational costs in the Lottery Operations program.

Ref. #: 50

Committee Vote: IN 13-0

AFA Vote: _____

	2017-18	2018-19
STATE LOTTERY FUND		
All Other	\$384,214	\$384,627
STATE LOTTERY FUND TOTAL	\$384,214	\$384,627

Justification:

Provides funding for increased advertising agency fees and marketing support, rent and OIT costs.

**LOTTERY OPERATIONS 0023
PROGRAM SUMMARY**

	History 2015-16	History 2016-17	2017-18	2018-19
STATE LOTTERY FUND				
POSITIONS - LEGISLATIVE COUNT	22.000	22.000	22.000	22.000
Personal Services	\$1,549,276	\$1,531,521	\$1,578,122	\$1,601,458
All Other	\$2,319,536	\$2,319,536	\$2,703,750	\$2,704,163
STATE LOTTERY FUND TOTAL	\$3,868,812	\$3,851,057	\$4,281,872	\$4,305,621

ADMINISTRATIVE AND FINANCIAL SERVICES, DEPARTMENT OF

DEPARTMENT TOTALS	2017-18	2018-19
GENERAL FUND	\$1,594,416	\$1,614,404
OTHER SPECIAL REVENUE FUNDS	\$19,190	\$19,190
STATE ALCOHOLIC BEVERAGE FUND	\$11,796,160	\$11,803,202
STATE LOTTERY FUND	\$4,281,872	\$4,305,621
DEPARTMENT TOTAL - ALL FUNDS	\$17,691,638	\$17,742,417

Sec. A-16. Appropriations and allocations. The following appropriations and allocations are made.

DEFENSE, VETERANS AND EMERGENCY MANAGEMENT, DEPARTMENT OF

Administration - Defense, Veterans and Emergency Management 0109

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	2,000	2,000	2,000	2,000
Personal Services	\$181,495	\$174,737	\$206,057	\$207,590
All Other	\$57,120	\$57,120	\$57,120	\$57,120
GENERAL FUND TOTAL	\$238,615	\$231,857	\$263,177	\$264,710
	History 2015-16	History 2016-17	2017-18	2018-19
FEDERAL EXPENDITURES FUND				
All Other	\$100	\$100	\$100	\$100
FEDERAL EXPENDITURES FUND TOTAL	\$100	\$100	\$100	\$100
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$500	\$500	\$500	\$500
OTHER SPECIAL REVENUE FUNDS TOTAL	\$500	\$500	\$500	\$500

Justification:

The Administration program includes the Commissioner and Deputy Commissioner, who administer all programs of the Department.

Administration - Defense, Veterans and Emergency Management 0109

Initiative: Reallocates the cost of one Adjutant General position and one Deputy Commissioner, Defense, Veterans and Emergency Management position from 83.5% General Fund, Administration - Defense, Veterans and Emergency Management program and 16.5% Federal Expenditures Fund, Administration - Maine Emergency Management Agency program to 100% General Fund, Administration - Defense, Veterans and Emergency Management program.

Ref. #: 963

Committee Vote: IN 13-0

AFA Vote: _____

	2017-18	2018-19
GENERAL FUND		
Personal Services	\$40,718	\$41,022
GENERAL FUND TOTAL	\$40,718	\$41,022

Justification:

This initiative reallocates the cost of the Adjutant General and Deputy Commissioner to be consistent with the terms and conditions of the Emergency Management Program Grant on administrative costs allocation described in 2 C.F.R. §200.414. Continued from IA98 in the fiscal year 2016-17 supplemental budget.

Administration - Defense, Veterans and Emergency Management 0109

Initiative: Reallocates technology costs for the Deputy Commissioner, Defense, Veterans and Emergency Management from 100% Federal Expenditures Fund in the Maine Emergency Management Agency program to 100% General Fund in the Administration - Defense, Veterans and Emergency Management program.

Ref. #: 964

Committee Vote: IN B-O

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
All Other	\$5,000	\$5,000
GENERAL FUND TOTAL	\$5,000	\$5,000

Justification:

This initiative reallocates the cost of mobile device and All Other related cost for Deputy Commissioner to be consistent with the terms and conditions of the Emergency Management Program Grant on administrative costs allocation described in 2 C.F.R. §200.414. Continued from IA99 in the fiscal year 2016-17 supplemental budget.

**ADMINISTRATION - DEFENSE, VETERANS AND EMERGENCY MANAGEMENT 0109
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$181,495	\$174,737	\$246,775	\$248,612
All Other	\$57,120	\$57,120	\$62,120	\$62,120
GENERAL FUND TOTAL	\$238,615	\$231,857	\$308,895	\$310,732
FEDERAL EXPENDITURES FUND	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$100	\$100	\$100	\$100
FEDERAL EXPENDITURES FUND TOTAL	\$100	\$100	\$100	\$100
OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$500	\$500	\$500	\$500
OTHER SPECIAL REVENUE FUNDS TOTAL	\$500	\$500	\$500	\$500

Loring Rebuild Facility 0843

ative: BASELINE BUDGET

FEDERAL EXPENDITURES FUND	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$49,586,066	\$49,586,066	\$49,586,066	\$49,586,066
FEDERAL EXPENDITURES FUND TOTAL	\$49,586,066	\$49,586,066	\$49,586,066	\$49,586,066

Justification:

This program is currently utilized to receive all federal funds being allocated to the Department for the Readiness Sustainment Maintenance Center from the National Guard Bureau to maintain and repair military equipment. The Department has contracted with the Maine Military Authority to assist in this action.

**LORING REBUILD FACILITY 0843
PROGRAM SUMMARY**

FEDERAL EXPENDITURES FUND	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$49,586,066	\$49,586,066	\$49,586,066	\$49,586,066
FEDERAL EXPENDITURES FUND TOTAL	\$49,586,066	\$49,586,066	\$49,586,066	\$49,586,066

Maine National Guard Postsecondary Fund Z190

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$0	\$500,000	\$500,000	\$500,000
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$0</u>	<u>\$500,000</u>	<u>\$500,000</u>	<u>\$500,000</u>

Justification:

The Maine National Guard Postsecondary Fund provides funding for a 100% tuition waiver at any state postsecondary education institution for qualified members of the Maine National Guard.

Maine National Guard Postsecondary Fund Z190

Initiative: Reduces funding to reflect anticipated resources.

Ref. #: 1006

Committee Vote:

IN B-0

AFA Vote: _____

	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS		
All Other	(\$499,500)	(\$499,500)
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>(\$499,500)</u>	<u>(\$499,500)</u>

Justification:

This initiative reduces funding to reflect anticipated resources in various programs.

**MAINE NATIONAL GUARD POSTSECONDARY FUND Z190
PROGRAM SUMMARY**

	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$0	\$500,000	\$500	\$500
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$0</u>	<u>\$500,000</u>	<u>\$500</u>	<u>\$500</u>

Military Educational Benefits 0922

ative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$410,000	\$410,000	\$410,000	\$410,000
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$410,000</u>	<u>\$410,000</u>	<u>\$410,000</u>	<u>\$410,000</u>

Justification:

A Maine National Guard member who meets the prerequisites is entitled to a 100% tuition grant to any state post-secondary education institution. Pursuant to Resolve 1999, chapter 121, the Maine National Guard shall provide the cost of tuition to a member who meets the requirements.

**MILITARY EDUCATIONAL BENEFITS 0922
PROGRAM SUMMARY**

	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$410,000	\$410,000	\$410,000	\$410,000
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$410,000</u>	<u>\$410,000</u>	<u>\$410,000</u>	<u>\$410,000</u>

Military Training and Operations 0108

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	11.000	11.000	11.000	11.000
Personal Services	\$1,110,967	\$1,101,487	\$1,113,790	\$1,137,102
All Other	\$2,071,639	\$2,106,337	\$2,106,337	\$2,106,337
GENERAL FUND TOTAL	\$3,182,606	\$3,207,824	\$3,220,127	\$3,243,439
	History 2015-16	History 2016-17	2017-18	2018-19
FEDERAL EXPENDITURES FUND				
POSITIONS - LEGISLATIVE COUNT	125.000	125.000	124.000	124.000
Personal Services	\$10,045,277	\$9,983,522	\$9,072,347	\$9,306,529
All Other	\$13,894,526	\$12,469,095	\$12,469,095	\$12,469,095
FEDERAL EXPENDITURES FUND TOTAL	\$23,939,803	\$22,452,617	\$21,541,442	\$21,775,624
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	1.000	1.000	1.000	1.000
Personal Services	\$83,139	\$84,033	\$86,856	\$87,806
All Other	\$490,991	\$490,991	\$490,991	\$490,991
OTHER SPECIAL REVENUE FUNDS TOTAL	\$574,130	\$575,024	\$577,847	\$578,797
	History 2015-16	History 2016-17	2017-18	2018-19
MAINE MILITARY AUTHORITY ENTERPRISE FUND				
Personal Services	\$49,128,016	\$49,601,869	\$49,358,695	\$51,241,622
All Other	\$44,505,619	\$44,505,619	\$44,505,619	\$44,505,619
MAINE MILITARY AUTHORITY ENTERPRISE FUND TOTAL	\$93,633,635	\$94,107,488	\$93,864,314	\$95,747,241

Justification:

The Military Bureau provides over 3,100 well trained military personnel to respond to both emergencies/ missions. The Military Bureau's 2 components, the Army National Guard and the Air National Guard each perform dual missions; a state mission as a resource to the Governor to provide trained and disciplined forces for domestic emergencies or needs such as helping communities deal with floods, tornadoes, hurricanes, snowstorms or other emergency situations; and a federal mission as a resource to the President for prompt mobilization for war or emergency in support of our national security.

Military Training and Operations 0108

Initiative: Provides funding for the approved reorganization of one Office Associate II position to a Secretary Associate position. Also transfers All Other to Personal Services in the General Fund to fund the reorganization.

Ref. #: 943

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
Personal Services	\$572	\$584
All Other	(\$572)	(\$584)
GENERAL FUND TOTAL	\$0	\$0

Ref. #: 944

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND	2017-18	2018-19
Personal Services	\$2,292	\$2,338
FEDERAL EXPENDITURES FUND TOTAL	\$2,292	\$2,338

Justification:

This is an approved reorganization. New duties for this position included using independent judgment, taking initiative, and using discretion to make determinations on varied matters. The position also serves as advisor to the supervisor and acts as troubleshooter. Continued from IA88 in the fiscal year 2016-17 supplemental budget.

Military Training and Operations 0108

Initiative: Eliminates 8 vacant Military Security Police Officer positions.

Ref. #: 945

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	(8,000)	(8,000)
Personal Services	(\$515,790)	(\$536,639)
FEDERAL EXPENDITURES FUND TOTAL	(\$515,790)	(\$536,639)

Justification:

This initiative eliminates 8 vacant Military Security Police Officer positions in Appendix 23 of the Master Cooperative Agreement between the State of Maine and the National Guard Bureau. The positions are converted to federal technicians. Continued from IA97 in the fiscal year 2016-17 supplemental budget.

Military Training and Operations 0108

Initiative: Continues one Electronic Security Systems Supervisor position previously established by Financial Order 003598 F6 and continued in Financial Order 003819 F7 and transfers All Other to Personal Services to fund the position.

Ref. #: 946

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	1.000	1.000
Personal Services	\$81,026	\$85,063
All Other	(\$81,026)	(\$85,063)

FEDERAL EXPENDITURES FUND TOTAL

\$0 \$0

Justification:

This initiative establishes headcount for one Electronic Security Systems Supervisor position that is 100% funded by the National Guard Bureau. The duties of this position were being fulfilled by a State Active Duty soldier who retired in Spring 2016. The electronic security systems are used to control entry and protect soldiers, arms and ammunitions. Failure to adequately maintain and repair the systems puts soldiers and employees at serious risks. Specialized training is provided by the National Guard Bureau for proper maintenance for the security systems. A confidential security clearance is required given the sensitive nature of this work.

Military Training and Operations 0108

Initiative: Continues one Inventory and Property Associate II position previously established by Financial Order 003997 F7 and transfers All Other to Personal Services to fund the position.

Ref. #: 947

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND

2017-18 2018-19

POSITIONS - LEGISLATIVE COUNT

1,000 1,000

Personal Services

\$64,327 \$67,490

All Other

(\$64,327) (\$67,490)

FEDERAL EXPENDITURES FUND TOTAL

\$0 \$0

Justification:

This initiative continues one Inventory & Property Associate II position that is 100% funded by the National Guard Bureau under the Master Cooperative Agreement. The state warehouse and inventory management duties of this position was being fulfilled by an Inventory and Property Associate I position that was vacant effective August 1, 2016. Additional duties will include real property management which is currently performed by a contractor. Given the special training requirements for real property management, a permanent position is the most cost effective means. It provides continuity of service and minimizes turnover and training of personnel.

Military Training and Operations 0108

Initiative: Provides funding to raise the stipend of the High Voltage Electrician positions from 3% to 20% and transfers All Other to Personal Services to fund the cost.

Ref. #: 948

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

2017-18 2018-19

Personal Services

\$20,394 \$21,025

All Other

(\$20,394) (\$21,025)

GENERAL FUND TOTAL

\$0 \$0

Ref. #: 949

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND

2017-18 2018-19

Ref. #: 953

Committee Vote: IN 13-0

AFA Vote: _____

MAINE MILITARY AUTHORITY ENTERPRISE FUND

All Other

2017-18	2018-19
(\$230,679)	(\$1,639,753)

MAINE MILITARY AUTHORITY ENTERPRISE FUND

(\$230,679)	(\$1,639,753)
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TOTAL

Justification:

This initiative reduces funding to reflect anticipated resources in various programs.

Military Training and Operations 0108

Initiative: Provides funding for the approved reorganization of one Maintenance Mechanic position to a Building Mechanical Systems Specialist position.

Ref. #: 954

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

Personal Services

2017-18	2018-19
\$1,285	\$1,902

GENERAL FUND TOTAL

\$1,285	\$1,902
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Ref. #: 955

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND

Personal Services

2017-18	2018-19
\$3,845	\$5,710

FEDERAL EXPENDITURES FUND TOTAL

\$3,845	\$5,710
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Justification:

Continued from IA7003 in the fiscal year 2016-17 supplemental budget.

Military Training and Operations 0108

Initiative: Eliminates 4 vacant positions and related All Other as the STARBASE program ended in federal fiscal year 2014.

Ref. #: 956

Committee Vote: IN 13-0

AFA Vote: _____

FEDERAL EXPENDITURES FUND

POSITIONS - LEGISLATIVE COUNT

2017-18	2018-19
(4,000)	(4,000)

Personal Services

(\$310,597)	(\$324,319)
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All Other

(\$49,150)	(\$49,150)
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FEDERAL EXPENDITURES FUND TOTAL

(\$359,747) (\$373,469)

Justification:

Continued from IA96 in the fiscal year 2016-17 supplemental budget.

**MILITARY TRAINING AND OPERATIONS 0108
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	11.000	11.000	11.000	11.000
Personal Services	\$1,110,967	\$1,101,487	\$1,138,827	\$1,163,404
All Other	\$2,071,639	\$2,106,337	\$2,082,585	\$2,081,937
GENERAL FUND TOTAL	\$3,182,606	\$3,207,824	\$3,221,412	\$3,245,341
FEDERAL EXPENDITURES FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	125.000	125.000	114.000	114.000
Personal Services	\$10,045,277	\$9,983,522	\$8,418,933	\$8,627,687
All Other	\$13,894,526	\$12,469,095	\$12,274,592	\$12,267,392
FEDERAL EXPENDITURES FUND TOTAL	\$23,939,803	\$22,452,617	\$20,693,525	\$20,895,079
OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	1.000	1.000	1.000	1.000
Personal Services	\$83,139	\$84,033	\$86,856	\$87,806
All Other	\$490,991	\$490,991	\$487,274	\$487,218
OTHER SPECIAL REVENUE FUNDS TOTAL	\$574,130	\$575,024	\$574,130	\$575,024
MAINE MILITARY AUTHORITY ENTERPRISE FUND	History 2015-16	History 2016-17	2017-18	2018-19
Personal Services	\$49,128,016	\$49,601,869	\$49,358,695	\$51,241,622
All Other	\$44,505,619	\$44,505,619	\$44,274,940	\$42,865,866
MAINE MILITARY AUTHORITY ENTERPRISE FUND TOTAL	\$93,633,635	\$94,107,488	\$93,633,635	\$94,107,488

Veterans Services 0110

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	37.000	41.000	41.000	41.000
Personal Services	\$2,485,002	\$2,731,727	\$2,841,680	\$2,932,510
All Other	\$724,265	\$1,028,665	\$1,028,665	\$1,028,665
GENERAL FUND TOTAL	\$3,209,267	\$3,760,392	\$3,870,345	\$3,961,175
	History 2015-16	History 2016-17	2017-18	2018-19
FEDERAL EXPENDITURES FUND				
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$143,394	\$145,979	\$131,494	\$137,279
All Other	\$142,040	\$142,092	\$142,092	\$142,092
FEDERAL EXPENDITURES FUND TOTAL	\$285,434	\$288,071	\$273,586	\$279,371
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$376,343	\$376,343	\$376,343	\$376,343
OTHER SPECIAL REVENUE FUNDS TOTAL	\$376,343	\$376,343	\$376,343	\$376,343

Justification:

The Bureau of Veterans' Services is charged by State of Maine statutes to act as the primary public advocate for veterans before the United States Department of Veterans Affairs. It is to ensure that Maine veterans and their dependents receive all entitlements due under the law, are relieved to the extent possible of financial hardship, receive every opportunity for self-improvement through higher education and are afforded proper recognition for their services and sacrifice to the nation. There are 7 field offices that provide statewide support to Maine's 140,000 veterans. Approximately \$50 million a year in compensation and pension is provided to Maine veterans and their dependents. The Bureau also enrolls about 500 veterans a year in VA healthcare system which saves the State of Maine money on MaineCare costs. The Maine Veterans' Memorial Cemetery System consists of 4 cemeteries located on Civic Center Drive, Augusta; Mt. Vernon Road, Augusta; Lombard Road, Caribou; and Stanley Road, Springvale.

Veterans Services 0110

Initiative: Provides funding for the approved reorganization of one Office Associate II Supervisor position to an Office Specialist I Manager Supervisor position.

Ref. #: 978

Committee Vote: IN 13-0

AFA Vote: _____

	2017-18	2018-19
GENERAL FUND		
Personal Services	\$3,457	\$5,770
GENERAL FUND TOTAL	\$3,457	\$5,770

Justification:

This is an approved reorganization. New duties for this position include acting as the Congressional and State agency liaison for veterans' claims in the State and allows the department to have immediate and personal oversight of individual requests. Continued from IA100 in the fiscal year 2016-17 supplemental budget.

Veterans Services 0110

Initiative: Provides funding for the approved reorganization of 2 Office Associate II positions to Office Specialist I positions.

Ref. #: 979

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

Personal Services

2017-18	2018-19
\$7,158	\$7,547

GENERAL FUND TOTAL

\$7,158	\$7,547
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Justification:

Bureau of Human Resources has approved the reorganizations to reflect the change in duties and responsibilities to address the operational needs within the Bureau of Veterans Services. Anticipated date for these reorganizations for these positions will be on March 1, 2017. Continued from IA107 in the fiscal year 2016-17 supplemental budget.

Veterans Services 0110

Initiative: Provides funding for the approved reorganization of one Office Associate II position to an Office Specialist I position.

Ref. #: 980

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

Personal Services

2017-18	2018-19
\$4,358	\$4,355

GENERAL FUND TOTAL

\$4,358	\$4,355
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Justification:

This initiative upgrades one Office Associate II position to an Office Specialist I position with the additional duty of processing payments to Bureau vendors effective March 1, 2017. Invoices for the Veterans Services Bureau are currently being paid by the Directorate of Facilities Engineering (DFE) accounting staff; DFE has performed these services as a courtesy and is not adequately staffed to maintain the service. This change will allow the Bureau to more efficiently pay vendors. Continued from IA108 in the fiscal year 2016-17 supplemental budget.

Veterans Services 0110

Initiative: Provides funding for the approved range change of one Supervisor Veterans Services position from range 26 to range 29.

Ref. #: 981

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

2017-18	2018-19
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**VETERANS SERVICES 0110
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	37.000	41.000	41.000	41.000
Personal Services	\$2,485,002	\$2,731,727	\$2,865,847	\$2,959,391
All Other	\$724,265	\$1,028,665	\$1,403,665	\$1,403,665
Capital Expenditures	\$0	\$0	\$30,000	\$15,000
GENERAL FUND TOTAL	\$3,209,267	\$3,760,392	\$4,299,512	\$4,378,056
FEDERAL EXPENDITURES FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$143,394	\$145,979	\$131,494	\$137,279
All Other	\$142,040	\$142,092	\$142,092	\$142,092
FEDERAL EXPENDITURES FUND TOTAL	\$285,434	\$288,071	\$273,586	\$279,371
OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$376,343	\$376,343	\$376,343	\$376,343
OTHER SPECIAL REVENUE FUNDS TOTAL	\$376,343	\$376,343	\$376,343	\$376,343

DEFENSE, VETERANS AND EMERGENCY MANAGEMENT, DEPARTMENT OF

DEPARTMENT TOTALS	2017-18	2018-19
GENERAL FUND	\$7,829,819	\$7,934,129
FEDERAL EXPENDITURES FUND	\$70,553,277	\$70,760,616
OTHER SPECIAL REVENUE FUNDS	\$1,361,473	\$1,362,367
MAINE MILITARY AUTHORITY ENTERPRISE FUND	\$93,633,635	\$94,107,488
DEPARTMENT TOTAL - ALL FUNDS	\$173,378,204	\$174,164,600

Note: 2 initiatives in testimony from

Sec. A-26. Appropriations and allocations. The following appropriations and allocations are made.

MCGEER

ETHICS AND ELECTION PRACTICES, COMMISSION ON GOVERNMENTAL

VLA divided

7-6

Governmental Ethics and Election Practices - Commission on 0414

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	2.000	2.000	2.000	2.000
Personal Services	\$144,892	\$142,888	\$141,628	\$146,525
All Other	\$8,897	\$8,897	\$8,897	\$8,897
GENERAL FUND TOTAL	\$153,789	\$151,785	\$150,525	\$155,422
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	4.000	4.000	4.000	4.000
Personal Services	\$441,168	\$441,828	\$427,467	\$436,866
All Other	\$1,958,320	\$1,956,570	\$1,955,899	\$1,955,899
OTHER SPECIAL REVENUE FUNDS TOTAL	\$2,399,488	\$2,398,398	\$2,383,366	\$2,392,765

Justification:

The budget request of the Maine Commission on Governmental Ethics and Election Practices is based on the costs necessary for the administration of Maine's legislative ethics standards, lobbyist registration and disclosure requirements, and the statutory requirements for campaign finance reporting by candidates, party committees, and political action committees, including administration of the Maine Clean Election Act, as mandated by Title 1, chapter 25, Title 3, chapter 15 and Title 21-A, chapters 13 and 14.

Governmental Ethics and Election Practices - Commission on 0414

Initiative: Establishes one project Planning and Research Assistant position needed to administer the 2018 election. This position begins on January 1, 2018 and ends on December 31, 2018 and provides funding for related All Other costs.

Ref. #: 1399

Committee Vote: IN 13-0

AFA Vote: _____

	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS		
Personal Services	\$33,561	\$38,675
All Other	\$578	\$587
OTHER SPECIAL REVENUE FUNDS TOTAL	\$34,139	\$39,262

Justification:

This initiative establishes one Planning and Research Assistant in order to have adequate staffing levels to handle more candidates who are running for legislative and county offices. This position will explain laws, rules, regulations and registration processes in order to ensure an understanding of compliance and disclosure requirements. This position has been created in every general election year since 2006. This position will fill staffing needs during the 2018 election year.

Justification:

The funding will be used to host the Commission's online registration and penalty payment service and online qualifying contribution service.

Governmental Ethics and Election Practices - Commission on 0414

Initiative: Provides funding for STA-CAP costs.

Ref. #: 1403

Committee Vote: IN 13-0

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2017-18	2018-19
All Other	\$11,382	\$13,672
OTHER SPECIAL REVENUE FUNDS TOTAL	\$11,382	\$13,672

Justification:

This initiative provides funding for STA-CAP due to insufficient budget for STA-CAP in baseline.

GOVERNMENTAL ETHICS AND ELECTION PRACTICES - COMMISSION ON 0414

PROGRAM SUMMARY

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	2,000	2,000	2,000	2,000
Personal Services	\$144,892	\$142,888	\$141,628	\$146,525
All Other	\$8,897	\$8,897	\$8,897	\$8,897
GENERAL FUND TOTAL	\$153,789	\$151,785	\$150,525	\$155,422

OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	4,000	4,000	4,000	4,000
Personal Services	\$441,168	\$441,828	\$483,271	\$499,905
All Other	\$1,958,320	\$1,956,570	\$1,987,030	\$1,989,335
OTHER SPECIAL REVENUE FUNDS TOTAL	\$2,399,488	\$2,398,398	\$2,470,301	\$2,489,240

ETHICS AND ELECTION PRACTICES, COMMISSION ON GOVERNMENTAL

DEPARTMENT TOTALS	2017-18	2018-19
GENERAL FUND	\$150,525	\$155,422
OTHER SPECIAL REVENUE FUNDS	\$2,470,301	\$2,489,240
DEPARTMENT TOTAL - ALL FUNDS	\$2,620,826	\$2,644,662

Sec. A-61. Appropriations and allocations. The following appropriations and allocations are made.

PUBLIC SAFETY, DEPARTMENT OF

Gambling Control Board Z002

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	18.000	18.000	18.000	18.000
Personal Services	\$1,335,485	\$1,333,516	\$1,409,968	\$1,434,517
All Other	\$781,292	\$782,534	\$782,534	\$782,534
GENERAL FUND TOTAL	\$2,116,777	\$2,116,050	\$2,192,502	\$2,217,051
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$5,140,679	\$5,147,305	\$5,147,305	\$5,147,305
OTHER SPECIAL REVENUE FUNDS TOTAL	\$5,140,679	\$5,147,305	\$5,147,305	\$5,147,305

Justification:

The Gambling Control Board regulates, supervises, and exercises general control over the ownership and operation of casinos, the distribution of slot machines and table games, and the people who are employed by those entities.

Gambling Control Board Z002

Initiative: Transfers All Other funding for the Gambling Control Board from the General Fund to Other Special Revenue Funds in the same program.

Ref. #: 3075

Committee Vote: _____ AFA Vote: _____

GENERAL FUND				
All Other			2017-18 (\$782,534)	2018-19 (\$782,534)
GENERAL FUND TOTAL			(\$782,534)	(\$782,534)

TABLED

Ref. #: 3076

Committee Vote: _____ AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS			2017-18	2018-19
All Other			\$782,534	\$782,534
OTHER SPECIAL REVENUE FUNDS TOTAL			\$782,534	\$782,534

Justification:

is initiative transfers Gambling Control Board's All Other operating costs to the Other Special Revenue Funds account.

Justification:

This initiative adjusts expenditures and revenues to align with the February 2016 projections provided by the Revenue Forecasting Committee.

Gambling Control Board Z002

Initiative: Eliminates one vacant State Police Detective position.

Ref. #: 3080

Committee Vote: _____

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	(1.000)	(1.000)
Personal Services	(\$119,202)	(\$124,683)
GENERAL FUND TOTAL	(\$119,202)	(\$124,683)

Tabled

Justification:

When the Gambling Control Board was established, the original staffing request included two State Police Detectives. One of those positions has been vacant since July 2013. The current workload has not required filling this vacancy. This proposal eliminates the position. If proposed legislation expands gambling, the Department will evaluate the staffing need of each such proposal and request additional staffing as required.

**GAMBLING CONTROL BOARD Z002
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	18.000	18.000	17.000	17.000
Personal Services	\$1,335,485	\$1,333,516	\$1,294,726	\$1,313,794
All Other	\$781,292	\$782,534	\$0	\$0
GENERAL FUND TOTAL	\$2,116,777	\$2,116,050	\$1,294,726	\$1,313,794

OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$5,140,679	\$5,147,305	\$5,903,580	\$5,909,574
OTHER SPECIAL REVENUE FUNDS TOTAL	\$5,140,679	\$5,147,305	\$5,903,580	\$5,909,574

TABLED

Licensing and Enforcement - Public Safety 0712

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	6,000	6,000	6,000	6,000
Personal Services	\$523,611	\$441,725	\$513,426	\$526,069
All Other	\$152,913	\$159,863	\$159,863	\$159,863
Capital Expenditures	\$27,100	\$27,100	\$0	\$0
OTHER SPECIAL REVENUE FUNDS TOTAL	\$703,624	\$628,688	\$673,289	\$685,932

Justification:

The Licensing and Enforcement unit is responsible for the licensing and enforcement of all non-profit gaming, and also licenses and enforces the laws associated with private investigators, private security guards, and concealed firearms permits.

Licensing and Enforcement - Public Safety 0712

Initiative: Eliminates one State Police Lieutenant position and reduces funding for related All Other costs.

Ref. #: 3058

Committee Vote: _____ AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	(1,000)	(1,000)
Personal Services	(\$121,374)	(\$127,088)
All Other	(\$5,091)	(\$5,194)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$126,465)	(\$132,282)

Justification:

This position elimination results from the transfer of the non-profit gaming administration to the Gambling Control Board program.

Licensing and Enforcement - Public Safety 0712

Initiative: Transfers one Office Associate II position and one State Police Sergeant-E position and related All Other costs from Other Special Revenue Funds to General Fund within the same program.

Ref. #: 3059

Committee Vote: _____ AFA Vote: _____

GENERAL FUND	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	2,000	2,000
Personal Services	\$195,954	\$197,741
All Other	\$96,202	\$95,971
GENERAL FUND TOTAL	\$292,156	\$293,720

TABLED

Ref. #: 3060

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	(2,000)	(2,000)
Personal Services	(\$195,954)	(\$197,741)
All Other	(\$101,073)	(\$100,878)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$297,027)	(\$298,619)

Licensing and Enforcement - Public Safety 0712

Initiative: Eliminates 2 Public Safety Inspector positions, one Office Associate II position and All Other funding related to non-profit gaming.

Ref. #: 3061

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	(3,000)	(3,000)
Personal Services	(\$196,098)	(\$201,240)
All Other	(\$53,699)	(\$53,791)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$249,797)	(\$255,031)

Justification:

The Department is proposing to de-regulate Games of Chance and Beano/Bingo Games.

**LICENSING AND ENFORCEMENT - PUBLIC SAFETY 0712
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	0.000	0.000	2.000	2.000
Personal Services	\$0	\$0	\$195,954	\$197,741
All Other	\$0	\$0	\$96,202	\$95,979
GENERAL FUND TOTAL	\$0	\$0	\$292,156	\$293,720

OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	6.000	6.000	0.000	0.000
Personal Services	\$523,611	\$441,725	\$0	\$0
All Other	\$152,913	\$159,863	\$0	\$0
Capital Expenditures	\$27,100	\$27,100	\$0	\$0
OTHER SPECIAL REVENUE FUNDS TOTAL	\$703,624	\$628,688	\$0	\$0

PUBLIC SAFETY, DEPARTMENT OF

	2017-18	2018-19
DEPARTMENT TOTALS		
GENERAL FUND	\$1,586,882	\$1,607,514
OTHER SPECIAL REVENUE FUNDS	\$5,903,580	\$5,909,574
DEPARTMENT TOTAL - ALL FUNDS	<u>\$7,490,462</u>	<u>\$7,517,088</u>

Sec. A-65. Appropriations and allocations. The following appropriations and allocations are made.

SECRETARY OF STATE, DEPARTMENT OF

Bureau of Administrative Services and Corporations 0692

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
GENERAL FUND				
POSITIONS - LEGISLATIVE COUNT	32.000	32.000	32.000	32.000
Personal Services	\$2,286,001	\$2,282,637	\$2,329,987	\$2,393,862
All Other	\$772,858	\$1,735,605	\$1,735,605	\$1,735,605
GENERAL FUND TOTAL	\$3,058,859	\$4,018,242	\$4,065,592	\$4,129,467
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	3.000	3.000	3.000	3.000
Personal Services	\$187,011	\$189,062	\$184,186	\$190,370
All Other	\$70,216	\$70,724	\$70,724	\$70,724
OTHER SPECIAL REVENUE FUNDS TOTAL	\$257,227	\$259,786	\$254,910	\$261,094

Justification:

The Bureau of Corporations, Elections, and Commissions is responsible for elections, corporations, and a variety of central filing activities. The Bureau has significant contact with the public in many areas including the following: conduct of state elections; business and nonprofit entity filings; Uniform Commercial Code (UCC) filings; oversight of the Administrative Procedure Act (state agency rule-making); recording of appointments to state offices, boards and commissions; and commissioning of Notaries Public. In addition, the Bureau provides administrative support to the Maine State Archives and the Office of the Secretary of State.

The Bureau executes its responsibilities through a divisional structure. The Deputy Secretary of State is the Administrative head of the Bureau and the Directors of the two Divisions report directly to the Deputy. Each Division has an Assistant Director or working supervisor who is responsible for the functioning of his or her area and for the selection, supervision, rating and discipline of personnel. Overall operational responsibility for the Bureau rests with the Deputy.

With respect to 2018-2019 biennium, the Bureau of Corporations, Elections and Commissions is projected to generate approximately \$20.3 million in revenues.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides funding for the migration to Microsoft Office 365, a cloud based solution for e-mail, active directory and office products, by the Department of Administrative and Financial Services, Office of Information Technology.

Ref. #: 3152

Committee Vote: FN 13-0

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
All Other	\$19,061	\$19,061
GENERAL FUND TOTAL	\$19,061	\$19,061

Justification:

The Department of Administrative and Financial Services, Office of Information Technology has informed state agencies that effective July 1, 2017, they must join their plan to migrate agencies to Microsoft Office 365 or seek another provider for these services. The rate for non-executive branches will increase from \$7.18 per user per month to \$44.12 per user per month. For the Secretary of State this equates to 515 users for Archives, Bureau of Corporations, Elections and Commissions, Bureau of Motor Vehicles and Secretary's Office for increased costs of \$228,289 plus sta-cap of \$10,546.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides funding for the call management system assessment by the Department of Administrative and Financial Services, Office of Information Technology.

Ref. #: 3153

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

2017-18

2018-19

All Other

\$1,776

\$1,776

GENERAL FUND TOTAL

\$1,776

\$1,776

Justification:

The Department of Administrative and Financial Services, Office of Information Technology provides a call center service (Call Management System or CMS) that places incoming phone calls into a queue to be answered by any staff that are logged into the queue. The system produces statistics on the number of calls received, how long callers waited, how many callers hung up while waiting and average time to handle the call. Supervisors of any given queue can use a CMS supervisor client to see real-time activity including how many calls are holding or abandoned. Beginning in fiscal year 2017-18 there will be a \$4 per user charge per month for the use of the call center service. This will impact Bureau of Corporations, Elections, and Commissions and the Bureau of Motor Vehicles.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides funding for geographic information services fees.

Ref. #: 3154

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND

2017-18

2018-19

All Other

\$8,657

\$8,657

GENERAL FUND TOTAL

\$8,657

\$8,657

Justification:

The Maine Office of Geographic Information Systems will be assessing a flat fee for geographic information services (GIS) based on agency usage of data, maintenance and infrastructure costs and for GIS training and support.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides funding for the approved reorganization of 4 Customer Representative Associate II positions to Customer Representative Specialist - Corporate positions.

Ref. #: 3155

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
Personal Services	\$9,546	\$9,809
GENERAL FUND TOTAL	<u>\$9,546</u>	<u>\$9,809</u>

Ref. #: 3156

Committee Vote: IN 13-0

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2017-18	2018-19
Personal Services	\$3,315	\$3,460
OTHER SPECIAL REVENUE FUNDS TOTAL	<u>\$3,315</u>	<u>\$3,460</u>

Justification:

These reorganizations provides the Bureau of Corporations, Elections and Commissions the ability to have the employees cross trained in Uniform Commercial Code (UCC), Boards and Commissions, and annual reports so that an entire process can be completed by one person and the ability to shift resources between notaries, boards, UCC and business entity filings during peak times to alleviate or minimize backlogs in the filing program. These reorganizations were approved by the Bureau of Human Resources on August 25, 2016 and are effective April of 2017. This initiative is continued from IA40 in the fiscal year 2016-17 supplemental budget.

Bureau of Administrative Services and Corporations 0692

Initiative: Establishes one Elections Coordinator position to assist in the management and maintenance of election records and provides funding for related All Other costs.

Ref. #: 3157

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	1.000	1.000
Personal Services	\$73,117	\$76,855
All Other	\$6,669	\$2,146
GENERAL FUND TOTAL	<u>\$79,786</u>	<u>\$79,001</u>

Justification:

In the last 15 years, Congress has enacted 2 major new federal elections laws (i.e. the Help America Vote Act or HAVA, and the Military and Overseas Voters Empowerment or MOVE Act), in an attempt to standardize and improve federal voter registration and elections processes. The State also has adopted laws, rules and procedures, mandating standards for the maintenance of voter records and enhanced functionality in the Central Voter Registration (CVR) system; the implementation of accessibility adaptations to voting place and equipment; and electronic systems for delivery of voter registration and absentee ballots for Uniformed Service and Overseas Voters. All of these regulatory changes have changed the nature of elections administration from a more clerical and routine administrative function to a more professional and technology-based function, necessitating a corresponding change in the requirements for positions and staffing at a professional or paraprofessional level. We now have more centralized management of several election functions that previously were conducted only at the municipality level in 500 jurisdictions. These include the review and correction of data errors in about 1 million voter registration records in the CVR; periodic, non-discriminatory systematic system maintenance activities to review and check records against other state and federal databases to remove the records of voters who have died or moved; the use of specialty software to create camera-ready ballot layouts for over

350 different ballot styles for both printed ballots and audio/video display ballots for voters with disabilities and to manage the voter registration applications and absentee ballot requests and issuance and return of absentee ballots by uniformed service and overseas voters.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides one-time funding for the replacement of laptops and printers that are older than 5 years.

Ref. #: 3158 One Time Committee Vote: IN 13-0 AFA Vote: _____

GENERAL FUND	2017-18	2018-19
All Other	\$20,752	\$0
GENERAL FUND TOTAL	\$20,752	\$0

Justification:

This funding will replace 4 laptops and 18 printers which are over 5 years old.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides funding for the approved reorganization of one Management Analyst I position to an Elections Coordinator position and increases the hours from 40 hours to 80 hours bi-weekly.

Ref. #: 3159 Committee Vote: IN 13-0 AFA Vote: _____

GENERAL FUND	2017-18	2018-19
Personal Services	\$32,645	\$33,927
GENERAL FUND TOTAL	\$32,645	\$33,927

Justification:

In the last 15 years, Congress has enacted 2 major new federal elections laws (i.e. the Help America Vote Act or HAVA, and the Military and Overseas Voters Empowerment or MOVE Act), in an attempt to standardize and improve federal voter registration and elections processes. The State also has adopted laws, rules and procedures, mandating standards for the maintenance of voter records and enhanced functionality in the Central Voter Registration (CVR) system; the implementation of accessibility adaptations to voting place and equipment; and electronic systems for delivery of voter registration and absentee ballots for Uniformed Service and Overseas Voters. All of these regulatory changes have changed the nature of elections administration from a more clerical and routine administrative function to a more professional and technology-based function, necessitating a corresponding change in the requirements for positions and staffing at a professional or paraprofessional level. We now have more centralized management of several election functions that previously were conducted only at the municipality level in 500 jurisdictions. These include the review and correction of data errors in about 1 million voter registration records in the CVR; periodic, non-discriminatory systematic system maintenance activities to review and check records against other state and federal databases to remove the records of voters who have died or moved; the use of specialty software to create camera-ready ballot layouts for over 350 different ballot styles for both printed ballots and audio/video display ballots for voters with disabilities and to manage the voter registration applications and absentee ballot requests and issuance and return of absentee ballots by uniformed service and overseas voters. This request is continued from IA43 in the fiscal year 2016-17 supplemental budget.

Bureau of Administrative Services and Corporations 0692

Initiative: Provides funding for the Department of Administrative and Financial Services, Office of Information Technology enterprise functions.

Ref. #: 3160

Committee Vote: IN 13-0

AFA Vote: _____

GENERAL FUND	2017-18	2018-19
All Other	\$852	\$852
GENERAL FUND TOTAL	\$852	\$852

Justification:

The Department of Administrative and Financial Services, Office of Information Technology will begin assessing non-Executive Branch agencies for IT Enterprise functions. The funds will cover the cost over the biennium.

**BUREAU OF ADMINISTRATIVE SERVICES AND CORPORATIONS 0692
PROGRAM SUMMARY**

GENERAL FUND	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	32.000	32.000	33.000	33.000
Personal Services	\$2,286,001	\$2,282,637	\$2,445,295	\$2,514,450
All Other	\$772,858	\$1,735,605	\$1,793,372	\$1,768,097
GENERAL FUND TOTAL	\$3,058,859	\$4,018,242	\$4,238,667	\$4,282,550
OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
POSITIONS - LEGISLATIVE COUNT	3.000	3.000	3.000	3.000
Personal Services	\$187,011	\$189,062	\$187,501	\$193,830
All Other	\$70,216	\$70,724	\$70,724	\$70,724
OTHER SPECIAL REVENUE FUNDS TOTAL	\$257,227	\$259,786	\$258,225	\$264,554

Elections and Commissions 0693

Initiative: BASELINE BUDGET

	History 2015-16	History 2016-17	2017-18	2018-19
FEDERAL EXPENDITURES FUND				
All Other	\$1,322,550	\$1,322,550	\$1,322,550	\$1,322,550
FEDERAL EXPENDITURES FUND TOTAL	\$1,322,550	\$1,322,550	\$1,322,550	\$1,322,550
	History 2015-16	History 2016-17	2017-18	2018-19
OTHER SPECIAL REVENUE FUNDS				
All Other	\$50,000	\$50,000	\$50,000	\$50,000
OTHER SPECIAL REVENUE FUNDS TOTAL	\$50,000	\$50,000	\$50,000	\$50,000

Justification:

The Division of Elections and the Administrative Procedure Act (APA) supervises and administers all elections for federal, state and county offices and statewide referenda. In this capacity, they advise election officials from 500 municipalities, 600 candidates, and the general public regarding election laws and procedures; conduct training sessions for municipal election officials; prepare ballots and other election materials; tabulate official election results; supervise recounts of contested races as necessary; and oversee the application of State and Federal election laws.

Additionally, the Division maintains records of approximately 2,050 rules filed under the Administrative Procedure Act for all state agencies and arranges for the publication of rule-making notices.

The Division of Corporations, UCC and Commissions serves as a repository for all records relating to over 94,000 business entities and nonprofit corporations operating in the State and over 192,000 liens filed on personal property under the Uniform Commercial Code. The Division also administers the appointment, renewal and continuing education of over 23,000 Notaries Public, administers the application process for nominees for the office of Dedimus Justice for the Governor's office; records and maintains appointments and information for over 250 boards and commissions listed in Title 5, chapter 379, and processes requests for Authentications, Apostilles, and other certifications on the acts of public officials.

Elections and Commissions 0693

Initiative: Reduces funding based on available resources.

Ref. #: 3165

Committee Vote: IN 13-0

AFA Vote: _____

	2017-18	2018-19
FEDERAL EXPENDITURES FUND		
All Other	(\$1,312,550)	(\$1,312,550)
FEDERAL EXPENDITURES FUND TOTAL	(\$1,312,550)	(\$1,312,550)

Justification:

The Help America Vote Act of 2002 federal grant will be phased out by the 2018-2019 biennium. The remaining funds are in anticipation of future federal grants.

**ELECTIONS AND COMMISSIONS 0693
PROGRAM SUMMARY**

FEDERAL EXPENDITURES FUND	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$1,322,550	\$1,322,550	\$10,000	\$10,000
FEDERAL EXPENDITURES FUND TOTAL	\$1,322,550	\$1,322,550	\$10,000	\$10,000
OTHER SPECIAL REVENUE FUNDS	History 2015-16	History 2016-17	2017-18	2018-19
All Other	\$50,000	\$50,000	\$50,000	\$50,000
OTHER SPECIAL REVENUE FUNDS TOTAL	\$50,000	\$50,000	\$50,000	\$50,000

SECRETARY OF STATE, DEPARTMENT OF

DEPARTMENT TOTALS	2017-18	2018-19
GENERAL FUND	\$4,238,667	\$4,282,550
FEDERAL EXPENDITURES FUND	\$10,000	\$10,000
OTHER SPECIAL REVENUE FUNDS	\$308,225	\$314,554
DEPARTMENT TOTAL - ALL FUNDS	\$4,556,892	\$4,607,104

Sec. B-1. Appropriations and allocations. The following appropriations and allocations are made.

DEFENSE, VETERANS AND EMERGENCY MANAGEMENT, DEPARTMENT OF

Military Training and Operations 0108

Initiative: RECLASSIFICATIONS

Ref. #: 957

Committee Vote: IN 130

AFA Vote: _____

GENERAL FUND

Personal Services

2017-18

2018-19

\$15,931

\$16,036

All Other

(\$15,931)

(\$16,036)

GENERAL FUND TOTAL

\$0

\$0

Ref. #: 958

Committee Vote: IN 130

AFA Vote: _____

FEDERAL EXPENDITURES FUND

Personal Services

2017-18

2018-19

\$7,903

\$8,324

FEDERAL EXPENDITURES FUND TOTAL

\$7,903

\$8,324

DEFENSE, VETERANS AND EMERGENCY MANAGEMENT, DEPARTMENT OF

DEPARTMENT TOTALS

2017-18

2018-19

GENERAL FUND

\$0

\$0

FEDERAL EXPENDITURES FUND

\$7,903

\$8,324

DEPARTMENT TOTAL - ALL FUNDS

\$7,903

\$8,324