

# **FUND FOR A HEALTHY MAINE (FHM) – ORIENTATION**

## **HHS COMMITTEE**

**PRESENTED BY LUKE LAZURE, SENIOR ANALYST  
OFFICE OF FISCAL AND PROGRAM REVIEW**

**FEBRUARY 23, 2021**

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# FHM DOCUMENTS FOR REVIEW

- FHM Statute
  - FHM Status – SFY 20 & 21 and SFY 21 - 23
  - FHM – 10-year Allocations through SFY 2023
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# FHM STATUTE

- PL 1999, c. 401, Pt. V, §1 originally created the Fund for a Healthy Maine
  - Created after the Master Settlement Agreement (MSA) was finalized
  - Funds have always been identified separately
    - Originally, they were included in the Other Special Revenue Funds (014), though identified for tracking purposes in separate units
    - PL 2011, c. 701, §2 – created a NEW fund (024) where all FHM revenue and expenditures are now tracked
  - Legislature allocates the FHM funds and the department expends the funds as outlined by the allocations
  - Any unspent funds at the end of the year lapse back in the FHM for future use
  - If you are wondering “How did we get here?” This is the Statute for you
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# FHM STATUTE

## PART I

### Title 22: HEALTH AND WELFARE

#### Subtitle 2: HEALTH

#### Part 3: PUBLIC HEALTH HEADING: PL 1989, C. 487, §11 (RPR)

#### Chapter 260-A: SETTLEMENT FUNDS HEADING: PL 1999, C. 401, PT. V, §1 (NEW)

#### §1511. Fund for a Healthy Maine established

**1. Fund established.** The Fund for a Healthy Maine, referred to in this chapter as the "fund," is established for the purposes specified in this chapter as a separate and distinct fund for accounting and budgetary reporting purposes.

[PL 2011, c. 701, §1 (AMD).]

**2. Sources of fund.** The State Controller shall credit to the fund:

**A. All money received by the State in settlement of or in relation to the lawsuit State of Maine v. Philip Morris, et al., Kennebec County Superior Court, Docket No. CV-97-134;** [PL 1999, c. 401, Pt. V, §1 (NEW).]

**B. Money from any other source, whether public or private, designated for deposit into or credited to the fund; and** [PL 1999, c. 401, Pt. V, §1 (NEW).]

**C. Interest earned or other investment income on balances in the fund.** [PL 1999, c. 401, Pt. V, §1 (NEW).]

[PL 1999, c. 401, Pt. V, §1 (NEW).]

- §2 A. - Master Settlement Agreement (MSA)
- §2 B. - 10% of the Hollywood Casino slots revenue
- §2 C. - Interest earned on FHM funds

# FHM STATUTE

## PART II

**3-A. Unencumbered balances.** Any unencumbered balance remaining at the end of any fiscal year lapses back to the Fund for a Healthy Maine, the account within the Department of Administrative and Financial Services established pursuant to this section, and may not be made available for expenditure without specific legislative approval.

[PL 2001, c. 559, Pt. AA, §3 (NEW); PL 2001, c. 559, Pt. AA, §5 (AFF).]

- Any unencumbered balance lapses back to FHM
  - You will see these lapsing funds on the Status document we will discuss later
- No FHM funding is available without specific legislative approval
  - This approval is the allocating of funds done in the budget or a bill and you can see past allocations on the FHM 10-year Allocations document we will discuss later

**4. Restrictions.** This section does not require the provision of services for the purposes specified in subsection 6. When allocations are made to direct services, services to lower income consumers must have priority over services to higher income consumers. Allocations from the fund must be used to supplement, not supplant, appropriations from the General Fund.

[PL 1999, c. 401, Pt. V, §1 (NEW).]

**5. General Fund limitation.** Notwithstanding any provision to the contrary in this section, any program, expansion of a program, expenditure or transfer authorized by the Legislature using the Fund for a Healthy Maine may not be transferred to the General Fund without specific legislative approval.

[PL 1999, c. 401, Pt. V, §1 (NEW).]

- §4 – Allocations must “supplement, not supplant, appropriations from the General Fund”
  - This does not bind the ability of the legislature to supplant other funding by “notwithstanding” this language in a piece of legislation. Essentially, previously enacted statutes do not bind future legislatures, they provide a roadmap of a previous legislatures intent (*Note: If notwithstanding language is not included, the bill is still valid. Allocating funding is enough, but the notwithstanding language clarifies the current legislatures intent.*)
- §5 – FHM funds cannot be transferred to the General Fund without legislative approval

**6. Health promotion purposes.** Allocations are limited to the following prevention and health promotion purposes:

A. Smoking prevention, cessation and control activities, including, but not limited to, reducing smoking among the children of the State; [PL 1999, c. 401, Pt. V, §1 (NEW).]

A-1. Prevention, education and treatment activities concerning unhealthy weight and obesity; [PL 2011, c. 617, §1 (NEW).]

B. Prenatal and young children's care including home visits and support for parents of children from birth to 6 years of age; [PL 1999, c. 401, Pt. V, §1 (NEW).]

C. Child care for children up to 15 years of age, including after-school care; [PL 1999, c. 401, Pt. V, §1 (NEW).]

D. Health care for children and adults, maximizing to the extent possible federal matching funds; [PL 1999, c. 401, Pt. V, §1 (NEW).]

E. Prescription drugs for adults who are elderly or disabled, maximizing to the extent possible federal matching funds; [PL 1999, c. 401, Pt. V, §1 (NEW).]

F. Dental and oral health care to low-income persons who lack adequate dental coverage; [PL 1999, c. 401, Pt. V, §1 (NEW).]

G. Substance use disorder prevention and treatment; and [PL 2017, c. 407, Pt. A, §71 (AMD).]

H. Comprehensive school health and nutrition programs, including school-based health centers. [PL 2007, c. 539, Pt. IIII, §3 (AMD).]

[PL 2017, c. 407, Pt. A, §71 (AMD).]

- The current legislature can decide to spend funds in a way that does not specifically meet one of these purposes:
  - They could use “notwithstanding” language in a piece of legislation (*Note: If notwithstanding language is not included, the bill is still valid. Allocating funding is enough, but the notwithstanding language clarifies the current legislatures intent.*)
  - or
  - They could add a purpose to this subsection

# FHM STATUTE

## PART III

**14. Legislative committee review of legislation.** Whenever a proposal in a resolve or bill before the Legislature, including but not limited to a budget bill, affects the fund, the joint standing committee of the Legislature having jurisdiction over the proposal shall hold a public hearing and determine the level of support for the proposal among members of the committee. If there is support for the proposal among a majority of the members of the committee, the committee shall request the joint standing committee of the Legislature having jurisdiction over health and human services matters to review and evaluate the proposal as it pertains to the fund. The joint standing committee of the Legislature having jurisdiction over health and human services matters shall conduct the review and report to the committee of jurisdiction and to the joint standing committee of the Legislature having jurisdiction over appropriations and financial affairs.

[PL 2011, c. 701, §2 (NEW).]

- Any piece of legislation that includes new or increased funding for the Fund for a Healthy Maine and has the support of a majority of the committee, must be reviewed by the committee with jurisdiction over health and human services matters (i.e. YOU)
- The HHS committee will review the bill and potential impact on the FHM and report back to the committee with jurisdiction over the original bill
- This report back from HHS is NOT binding, but provides the committee additional information to make their final decision.

# FHM 10-YEAR ALLOCATIONS

- Outlines total allocations for a 10 year span
    - Current file covers SFY 2013-14 through SFY 2022-23
  - Broken down by each Department and Program
  - Includes legislative position count, personal services, all other, capital and a program total
  - Also notes year-to-year % increase (decrease)
  - If you are wondering “How much FHM funding have we provided to program X in the past?” this is the document that answers that question
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Updated February 12, 2021

**Fund for a Healthy Maine (FHM) Allocations  
Adjusted for Departmental Reorganizations\*  
Allocations through 129th Legislature and the Governor's EFY 2021 and 2022-2023 Biennial Budget Proposals  
FY 2013-14 to FY 2022-23**

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23
<b>DEPARTMENT OF THE ATTORNEY GENERAL</b>										
024-26A-0947-01	FHM - ATTORNEY GENERAL (FORMERLY 011-26A-0947)									
Pos. - Leg.	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)
Pers. Serv.	93,309	99,303	116,600	\$118,540	121,765	\$127,517	140,826	\$147,220	109,765	\$115,063
All Other	21,224	51,029	21,542	19,628	19,628	19,628	20,860	20,860	21,164	21,164
Program Total	114,533	150,332	138,142	138,168	141,393	147,145	161,686	168,080	130,929	136,227
Annual % Increase	-9.43%	31.26%	-8.11%	0.02%	2.33%	4.07%	9.88%	3.95%	0.00%	4.05%
<b>DEPARTMENT OF THE ATTORNEY GENERAL</b>										
Pos. - Leg.	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)
Pers. Serv.	93,309	99,303	116,600	118,540	121,765	127,517	140,826	147,220	109,765	115,063
All Other	21,224	51,029	21,542	19,628	19,628	19,628	20,860	20,860	21,164	21,164
Dept. Total	114,533	150,332	138,142	138,168	141,393	147,145	161,686	168,080	130,929	136,227
Annual % Increase	-9.43%	31.26%	-8.11%	0.02%	2.33%	4.07%	9.88%	3.95%	0.00%	4.05%
<b>DEPARTMENT OF EDUCATION</b>										
024-05A-Z068-01	FHM - SCHOOL BREAKFAST PROGRAM (FORMERLY 011-05A-Z068-01)									
All Other	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720
Program Total	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
<b>DEPARTMENT OF EDUCATION</b>										
Pos. - Leg.	(0,000)	(0,000)	(0,000)	(0,000)	(0,000)	(0,000)	(0,000)	(0,000)	(0,000)	(0,000)
Pers. Serv.	0	0	0	0	0	0	0	0	0	0
All Other	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720
Dept. Total	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720	213,720
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
<b>FINANCE AUTHORITY OF MAINE</b>										
024-94F-0950-02	FHM - HEALTH EDUCATION CENTERS (FORMERLY 011-94F-0950-02)									
All Other	105,000	110,000	110,000	110,000	110,000	110,000	110,000	110,000	110,000	110,000
Program Total	105,000	110,000	110,000	110,000	110,000	110,000	110,000	110,000	110,000	110,000
Annual % Increase	4.63%	4.76%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
024-94F-0951-01	FHM - DENTAL EDUCATION (FORMERLY 011-94F-0951-01)									
All Other	237,740	237,740	237,740	237,740	237,740	237,740	237,740	237,740	237,740	237,740
Program Total	237,740	237,740	237,740	237,740	237,740	237,740	237,740	237,740	237,740	237,740
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
024-94F-Z229-01	MAINE HARVESTED FOOD PRODUCTS FOR RESIDENTS WITH FOOD INSECURITY									
All Other	0	0	0	3,000,000	0	0	0	0	0	0
Program Total	0	0	0	3,000,000	0	0	0	0	0	0
Annual % Increase	0.00%	0.00%	0.00%	0.00%	-100.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Updated February 12, 2021

**Fund for a Healthy Maine (FHM) Allocations**  
**Adjusted for Departmental Reorganizations\***  
**Allocations through 129th Legislature and the Governor's EFY 2021 and 2022-2023 Biennial Budget Proposals**  
**FY 2013-14 to FY 2022-23**

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23
<b>FINANCE AUTHORITY OF MAINE</b>										
Pos. - Leg.	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)
Pos. - Other	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)
Pers. Serv.	0	0	0	0	0	0	0	0	0	0
All Other	342,740	347,740	347,740	3,347,740	347,740	347,740	347,740	347,740	347,740	347,740
Dept. Total	342,740	347,740	347,740	3,347,740	347,740	347,740	347,740	347,740	347,740	347,740
Annual % Increase	1.37%	1.46%	0.00%	862.71%	-89.61%	0.00%	0.00%	0.00%	0.00%	0.00%
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES (FORMERLY DHS)</b>										
024-10A-0143-25 MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: ORAL HEALTH) (FORMERLY FHM - BUREAU OF HEALTH - ORAL HEALTH 011-10A-0953-01)										
All Other	300,000	300,000	300,000	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Program Total	300,000	300,000	300,000	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
024-10A-0143-30 MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: TOBACCO PREVENTION AND CONTROL) (FORMERLY FHM - BUREAU OF HEALTH - TOBACCO PREVENTION AND CONTROL 011-10A-0953-02)										
Pos. - Leg.	(7.000)	(7.000)	(6.000)	(6.000)	(5.000)	(5.000)	(5.000)	(5.000)	(5.000)	(5.000)
Pers. Serv.	574,885	595,191	485,716	500,277	421,714	433,766	429,777	455,616	461,328	471,236
All Other	5,821,987	5,821,987	5,821,987	5,821,987	3,824,805	3,825,247	8,825,247	8,825,247	3,825,247	3,825,247
Program Total	6,396,872	6,417,178	6,307,703	6,322,264	4,246,519	4,259,013	9,255,024	9,280,863	4,286,575	4,296,483
Annual % Increase	0.10%	0.32%	-1.71%	0.23%	-32.83%	0.29%	117.30%	0.28%	0.00%	0.23%
024-10A-0143-31 MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: FHM - SUBSTANCE ABUSE PREVENTION)										
All Other	0	0	0	0	777,504	777,504	777,504	777,504	777,504	777,504
Program Total	0	0	0	0	777,504	777,504	777,504	777,504	777,504	777,504
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
024-10A-0143-26 MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: COMMUNITY/ SCHOOL GRANTS & STATEWIDE COORDINATION) (FORMERLY FHM - BUREAU OF HEALTH - COMMUNITY/SCHOOL GRANTS 011-10A-0953-07)										
Pos. - Leg.	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)	(0.000)
Pers. Serv.	227,374	237,752	204,118	212,539	256,270	262,731	272,447	286,307	295,591	298,900
All Other	4,781,144	4,781,144	4,781,144	4,781,144	1,750,939	2,351,108	2,511,108	2,511,108	2,511,108	2,511,108
Program Total	5,008,518	5,018,896	4,985,262	4,993,683	2,007,209	2,613,839	2,783,555	2,797,415	2,806,699	2,810,008
Annual % Increase	-0.07%	0.21%	-0.67%	0.17%	-59.81%	30.22%	6.49%	0.50%	0.00%	0.12%

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**Fund for a Healthy Maine (FHM) Allocations**  
**Adjusted for Departmental Reorganizations\***  
**Allocations through 129th Legislature and the Governor's EFY 2021 and 2022-2023 Biennial Budget Proposals**  
**FY 2013-14 to FY 2022-23**

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23
024-10A-0143-27	MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: PUBLIC HEALTH INFRASTRUCTURE) (FORMERLY FHM - PUBLIC HEALTH INFRASTRUCTURE 011-10A-0953-08)									
Pos. - Leg.	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(1,000)	(7,000)	(7,000)	(7,000)	(7,000)
Pers. Serv.	91,390	97,609	544,187	714,255	524,984	545,296	1,270,949	1,356,042	606,688	623,348
All Other	1,258,314	1,258,314	1,990,109	1,944,926	1,638,542	1,594,225	2,057,483	2,237,980	3,237,980	3,237,980
Cap. Exp.	0	0	0	0	0	0	0	0	0	0
Program Total	1,349,704	1,355,923	2,534,296	2,659,181	2,163,526	2,139,521	3,328,432	3,594,022	3,844,668	3,861,328
Annual % Increase	-1.07%	0.46%	86.91%	4.93%	-18.64%	-1.11%	55.57%	7.98%	0.00%	0.43%
024-10A-0143-28	MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: DONATED DENTAL) (FORMERLY FHM - DONATED DENTAL 011-10A-0958-01)									
All Other	36,463	36,463	36,463	36,463	36,463	36,463	36,463	36,463	36,463	36,463
Program Total	36,463	36,463	36,463	36,463	36,463	36,463	36,463	36,463	36,463	36,463
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
024-10A-0143-29	MAINE CENTER FOR DISEASE CONTROL AND PREVENTION (ACCOUNT NAME: IMMUNIZATION) (FORMERLY FHM - IMMUNIZATION 011-10A-Z048-01)									
All Other	1,078,884	1,078,884	0	0	0	0	0	0	0	0
Program Total	1,078,884	1,078,884	0	0	0	0	0	0	0	0
Annual % Increase	0.00%	0.00%	-100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
024-10A-0545-04	HEAD START (FORMERLY FHM - HEAD START 011-10A-0959-01)									
All Other	1,354,580	1,354,580	1,929,580	1,929,580	1,354,580	1,354,580	1,354,580	1,354,580	1,354,580	1,354,580
Program Total	1,354,580	1,354,580	1,929,580	1,929,580	1,354,580	1,354,580	1,354,580	1,354,580	1,354,580	1,354,580
Annual % Increase	0.00%	0.00%	42.45%	0.00%	-29.80%	0.00%	0.00%	0.00%	0.00%	0.00%
024-10A-0147-01	MEDICAL CARE - PAYMENTS TO PROVIDERS (FORMERLY FHM - MEDICAL CARE 011-10A-0960-01)									
All Other	26,310,905	27,668,900	25,901,244	26,036,930	31,036,930	31,036,930	31,036,930	27,118,732	30,934,045	30,865,455
Program Total	26,310,905	27,668,900	25,901,244	26,036,930	31,036,930	31,036,930	31,036,930	27,118,732	30,934,045	30,865,455
Annual % Increase	34.24%	5.16%	-6.39%	0.52%	19.20%	0.00%	0.00%	-12.62%	0.00%	-0.22%
024-10A-0228-01	PURCHASED SOCIAL SERVICES (FORMERLY FHM - PURCHASED SOCIAL SERVICES 011-10A-0961-01)									
All Other	1,971,118	1,971,118	1,971,118	1,971,118	1,971,118	1,971,118	4,471,118	4,471,118	1,971,118	1,971,118
Program Total	1,971,118	1,971,118	1,971,118	1,971,118	1,971,118	1,971,118	4,471,118	4,471,118	1,971,118	1,971,118
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	126.83%	0.00%	0.00%	0.00%
024-10A-0202-01	LOW-COST DRUGS TO MAINE'S ELDERLY (FORMERLY FHM - DRUGS OF THE ELDERLY AND DISABLED 011-10A-Z015-01)									
All Other	6,897,869	6,897,869	6,217,798	6,082,095	6,082,095	6,082,095	6,082,095	6,082,095	6,082,095	6,082,095
Program Total	6,897,869	6,897,869	6,217,798	6,082,095	6,082,095	6,082,095	6,082,095	6,082,095	6,082,095	6,082,095
Annual % Increase	-32.67%	0.00%	-9.86%	-2.18%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

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**Fund for a Healthy Maine (FHM) Allocations**  
**Adjusted for Departmental Reorganizations\***  
**Allocations through 129th Legislature and the Governor's EFY 2021 and 2022-2023 Biennial Budget Proposals**  
**FY 2013-14 to FY 2022-23**

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23
024-10A-Z202-41 OFFICE OF SUBSTANCE ABUSE - MEDICAD SEED (FORMERLY OFFICE OF SUBSTANCE ABUSE - MEDICAD SEED 024-14G-0844-01)										
All Other	1,301,714	1,306,059	1,306,059	1,306,059	1,306,059	1,306,059	1,306,059	1,141,178	1,301,730	1,298,843
Program Total	1,301,714	1,306,059	1,306,059	1,306,059	1,306,059	1,306,059	1,306,059	1,141,178	1,301,730	1,298,843
Annual % Increase	2.04%	0.33%	0.00%	0.00%	0.00%	0.00%	0.00%	-12.62%	0.00%	-0.22%
024-10A-Z199-01 OFFICE OF SUBSTANCE ABUSE (FORMERLY OFFICE OF SUBSTANCE ABUSE 024-14G-0679-01)										
All Other	1,848,306	1,848,306	1,848,306	1,848,306	1,070,802	1,070,802	1,698,223	2,075,644	1,070,802	1,070,802
Program Total	1,848,306	1,848,306	1,848,306	1,848,306	1,070,802	1,070,802	1,698,223	2,075,644	1,070,802	1,070,802
Annual % Increase	0.00%	0.00%	0.00%	0.00%	-42.07%	0.00%	58.59%	22.22%	0.00%	0.00%
024-10A-Z199-02 OFFICE OF SUBSTANCE ABUSE (FORMERLY OFFICE OF SUBSTANCE ABUSE 024-14G-0679-01)										
All Other	0	0	0	0	0	0	2,000,000	3,500,000	1,000,000	1,000,000
Program Total	0	0	0	0	0	0	2,000,000	3,500,000	1,000,000	1,000,000
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	75.00%	100.00%	0.00%
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES (FORMERLY DHS)</b>										
Pos. - Leg.	(8,000)	(8,000)	(7,000)	(7,000)	(6,000)	(6,000)	(12,000)	(12,000)	(12,000)	(12,000)
Pers. Serv.	893,649	930,552	1,234,021	1,427,071	1,202,968	1,241,793	1,973,173	2,097,965	1,363,607	1,393,484
All Other	52,961,284	54,323,624	52,103,808	52,058,608	51,149,837	51,706,131	62,456,810	60,431,649	54,402,672	54,331,195
Dept. Total	53,854,933	55,254,176	53,337,829	53,485,679	52,352,805	52,947,924	64,429,983	62,529,614	55,766,279	55,724,679
Annual % Increase	6.69%	2.60%	-3.47%	0.28%	-2.12%	1.14%	21.69%	-2.95%	0.00%	-0.07%
<b>MAINE STATE HOUSING AUTHORITY</b>										
024-99H-Z267-01 LEAD ABATEMENT FUND										
All Other	0	0	0	0	0	4,000,000	0	0	0	0
Program Total	0	0	0	0	0	4,000,000	0	0	0	0
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	-100.00%	0.00%	0.00%	0.00%
<b>MAINE STATE HOUSING AUTHORITY</b>										
All Other	0	0	0	0	0	4,000,000	0	0	0	0
Dept. Total	0	0	0	0	0	4,000,000	0	0	0	0
Annual % Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	-100.00%	0.00%	0.00%	0.00%
<b>GRAND TOTALS - ALL DEPARTMENTS</b>										
Pos. - Leg.	(9,000)	(9,000)	(8,000)	(8,000)	(7,000)	(7,000)	(13,000)	(13,000)	(13,000)	(13,000)
Pers. Serv.	986,958	1,029,855	1,350,621	1,545,611	1,324,733	1,369,310	2,113,999	2,245,185	1,473,372	1,508,547
All Other	53,538,968	54,936,113	52,686,810	55,639,696	51,730,925	56,287,219	63,039,130	61,013,969	54,985,296	54,913,819
Grand Total	54,525,926	55,965,968	54,037,431	57,185,307	53,055,658	57,656,529	65,153,129	63,259,154	56,458,668	56,422,366
Annual % Increase	4.22%	2.64%	-3.45%	5.83%	-7.22%	8.67%	13.00%	-2.91%	0.00%	-0.06%

Notes:  
 FHM programs and allocations have been modified to reflect the transfer of all FORMERLY BDS funding to new accounts in the FORMERLY DHS Department.

# FHM STATUS DOCUMENT

- Outlines the available funds in the Fund for a Healthy Maine account
  - Broken down into 3 parts:
    1. All Revenue (resources)
    2. All Legislatively Approved Allocations (uses)
    3. Total change and ending balance
  - The bottom of the page includes notes about the data included in the file
  - If you are asking “Do we have the money to do X?” this is the file that balances the checkbook
-

# FHM STATUS SFY 20 AND 21 – WITH GOV. PROPOSED SUPP BUDGET - RESOURCES

This file is for the current biennium and is important while supplemental budget discussions are still on-going.

DRAFT: 02/11/2021

## FUND FOR A HEALTHY MAINE (FHM) STATUS With Governor's Proposed Emergency FY21 Supplemental Budget (LR 1970) <sup>1</sup>

### FHM RESOURCES:

#### Revenue:

December 2018 Base Revenue Estimate
May 2019 Revenue Forecast
Dec 2019 Revenue Forecast
Mar 2020 Revenue Forecast
Aug 2020 Revenue Forecast
Dec 2020 Revenue Forecast

Revenue Variances (Actual minus Budgeted Revenue)

Subtotal - Revenue

#### Other Resources and Adjustments

Adjustments to Prior Year Balances
Lapsed Balances from Unexpended Funds

Subtotal - Other Resources and Adjustments

**Total FHM Resources**

	<u>FY 20</u>	<u>FY 21</u>
December 2018 Base Revenue Estimate	\$49,851,601	\$49,911,520
May 2019 Revenue Forecast	(\$1,028,991)	(\$10,197,364)
Dec 2019 Revenue Forecast	\$47,565	(\$119,499)
Mar 2020 Revenue Forecast	\$73,576	(\$218)
Aug 2020 Revenue Forecast	\$0	\$4,032,665
Dec 2020 Revenue Forecast	\$0	\$1,124,815
Revenue Variances (Actual minus Budgeted Revenue)	<b>\$599,603</b>	\$0
Subtotal - Revenue	\$49,543,354	\$44,751,919
Other Resources and Adjustments		
Adjustments to Prior Year Balances	(\$560,732)	\$0
Lapsed Balances from Unexpended Funds	\$5,776,255	\$0
Subtotal - Other Resources and Adjustments	\$5,215,523	\$0
<b>Total FHM Resources</b>	<b>\$54,758,877</b>	<b>\$44,751,919</b>

The revenue identified in the RFC, which comes from the sources noted in Statute – MSA, Slots and Interest

All RFC's during the biennium.

Accounting adjustments for previous years.

Unexpended allocations – legislature allocated \$1m and the dept spent \$750K, so \$250K would lapse back to FHM

Difference between forecast and actual.

Total cash available for the year.

**FHM STATUS SFY 20 AND 21 -  
WITH GOV. PROPOSED SUPP BUDGET - ALLOCATIONS AND OTHER USES**

Any legislatively approved transfers in or out of the FHM.

Part Q of the Supp. Budget.

**FHM ALLOCATIONS AND OTHER USES: <sup>2</sup>**

**Transfers**

Transfers through 129th Legislature, 2nd Regular Session

Governor's Proposed Emergency FY21 Supplemental Budget (LR 1970)

Subtotal - Transfers

**Allocations**

2020-2021 Biennial Budget (LD 1001 - PL 2019, c. 343) Baseline

2020-2021 Biennial Budget (LD 1001 - PL 2019, c. 343) Adjustments

129-1 Other Enacted Bills

Governor's Proposed Emergency FY21 Supplemental Budget (LR 1970)

Subtotal - Allocations

**Total Allocations and Other Uses**

	<b>FY 20</b>	<b>FY 21</b>
Transfers through 129th Legislature, 2nd Regular Session	\$0	\$0
Governor's Proposed Emergency FY21 Supplemental Budget (LR 1970)	\$0	(\$14,500,000)
<b>Subtotal - Transfers</b>	<b>\$0</b>	<b>(\$14,500,000)</b>
2020-2021 Biennial Budget (LD 1001 - PL 2019, c. 343) Baseline	\$52,177,942	\$52,232,930
2020-2021 Biennial Budget (LD 1001 - PL 2019, c. 343) Adjustments	\$11,587,766	\$13,344,461
129-1 Other Enacted Bills	\$1,387,421	\$1,764,842
Governor's Proposed Emergency FY21 Supplemental Budget (LR 1970)	\$0	(\$4,083,079)
<b>Subtotal - Allocations</b>	<b>\$65,153,129</b>	<b>\$63,259,154</b>
<b>Total Allocations and Other Uses</b>	<b>\$65,153,129</b>	<b>\$48,759,154</b>

All legislatively approved allocations - Baseline from the 20-21 Budget, All Initiatives in the 20-21 Budget, all other enacted bills and changes proposed in the 21 Supp. Budget.

Total of ALL allocations and transfers.

## FHM STATUS SFY 20 AND 21 – WITH GOV. PROPOSED SUPP BUDGET – TOTAL CHANGE AND ENDING BALANCE

Total Revenue – Total allocations = Net Change for the Year

Ending Balance from SFY 2019

Beginning Balance – Net Change for SFY 20 = Ending Balance

	FY 20	FY 21
<b>Net Change</b> (Resources minus Allocations and Uses)	(\$10,394,252)	(\$4,007,235)
<b>BEGINNING BALANCE</b>	\$49,108,880	\$38,714,628
<b>NET CHANGE (FROM ABOVE)</b>	(\$10,394,252)	(\$4,007,235)
<b>ENDING BALANCE</b>	\$38,714,628	\$34,707,393

Beginning Balance – Net Change for SFY 21 = Ending Balance for the Biennium

**Notes:**

- <sup>1</sup> Reflects all actions through the end of the 129th Legislature, 2nd Regular Session with FY 20 closing transactions, the Special August 2020 Revenue Forecast and the December 2020 Revenue Forecast and includes the Governor's Proposed Emergency FY21 Supplemental Budget (LR 1970).
- <sup>2</sup> For the purposes of this summary, transfers out are treated as an expenditure/use and are positive amounts, while transfers in are negative amounts.

**Prepared by the Office of Fiscal and Program Review**

This document is prepared by OFPR for each budget and after each RFC Report and at the end of each fiscal year



# FHM STATUS SFY 21, 22 AND 23 WITH GOVERNOR'S PROPOSED BIENNIAL BUDGET

This file is SFY 21 and the next biennium and is important for the biennial budget discussions.

Revenue BEGINS with the Dec 2020 estimate from the RFC.

Updated: 02/11/2021

This file is for 3 Years - Current year & Next Biennium

## FUND FOR A HEALTHY MAINE (FHM) STATUS 2022-2023 Governor's Proposed Budget <sup>1</sup>

**FHM RESOURCES:**

Revenue:  
December 2020 Base Revenue Estimate

Subtotal - Revenue

**Total FHM Resources**

	FY 21	FY 22	FY 23
	\$44,751,919	\$42,504,640	\$40,909,864
	\$44,751,919	\$42,504,640	\$40,909,864
<b>Total FHM Resources</b>	<b>\$44,751,919</b>	<b>\$42,504,640</b>	<b>\$40,909,864</b>

Total Cash available

This is the same information that was on the SFY 20 and 21 Status file, with the same ending balance that carries forward as the beginning balance in SFY 22.

	<u>FY 21</u>	<u>FY 22</u>	<u>FY 23</u>
<b><u>FHM ALLOCATIONS AND OTHER USES:</u></b> <sup>2</sup>			
<u>Transfers</u>			
Transfers through 129th Legislature	\$0	\$0	\$0
Governor's Proposed EFY 21 Supplemental Budget (LR 1970)	(\$14,500,000)	\$0	\$0
Governor's Proposed Biennial Budget Adjustments (LR 1971)	\$0	\$0	\$0
Subtotal - Transfers	(\$14,500,000)	\$0	\$0
<u>Allocations</u>			
Allocations through 129th Leg. / Est. 2022-2023 Baseline <sup>3</sup>	\$67,342,233	\$54,565,578	\$54,600,753
Governor's Proposed EFY 21 Supplemental Budget (LR 1970)	(\$4,083,079)	\$0	\$0
Governor's Proposed Biennial Budget Adjustments (LR 1971)	\$0	\$1,893,090	\$ 1,821,613
Subtotal - Allocations	\$63,259,154	\$56,458,668	\$56,422,366
<b>Total Allocations and Other Uses</b>	<b>\$48,759,154</b>	<b>\$56,458,668</b>	<b>\$56,422,366</b>
<b>Net Change (Resources minus Allocations and Uses)</b>	<b>(\$4,007,235)</b>	<b>(\$13,954,028)</b>	<b>(\$15,512,502)</b>
<b>BEGINNING BALANCE</b>	<b>\$38,714,628</b>	<b>\$34,707,393</b>	<b>\$20,753,365</b>
<b>NET CHANGE (FROM ABOVE)</b>	<b>(\$4,007,235)</b>	<b>(\$13,954,028)</b>	<b>(\$15,512,502)</b>
<b>ENDING BALANCE</b>	<b>\$34,707,393</b>	<b>\$20,753,365</b>	<b>\$5,240,863</b>

Total of all FHM initiatives in the biennial budget.

Total of Baseline allocations carried forward plus all initiatives in the budget.

Ending Balance for each year of the biennium.

# FHM STATUS SFY 21, 22 AND 23 – OUTLINE OF BIENNIAL INITIATIVES FOR FHM

This section outlines all initiatives in the budget that impact FHM (NOTE: depending on the number of initiatives this section may only summarize groups of initiatives, but this year there were only 4 total).

**4 Allocation Changes Proposed in Governor's 2022-2023 Biennial Budget:**

	Net Cost (Savings)	
	FY 22	FY 23
> Provides one-time funding to establish the Overdose Prevention through Intensive Outreach, Naloxone and Safety program to raise awareness about drug overdose risks and to promote the new Opiate Use Disorder and Substance Use Disorder Treatment Locator.	\$ 1,000,000	\$ 1,000,000
> Provides funding to support development of a strategy and one-time investments in public health infrastructure to reduce disparities in outcomes for Maine residents in minority groups.	\$ 1,000,000	\$ 1,000,000
> Adjusts funding as a result of the increase in the Federal Medical Assistance Percentage for federal fiscal years 2021-2023.	\$ (107,214)	\$ (178,691)
> Provides funding for Department of Administrative and Financial Services, Office of Information Technology (OIT) rate increases, computer replacements and other information technology needs.	\$ 304	\$ 304
<b>Total Proposed FHM Allocation Initiatives</b>	<b>\$ 1,893,090</b>	<b>\$ 1,821,613</b>

The total of the proposed initiatives ties to the line above in the allocation section.

**Notes:**

- <sup>1</sup> Reflects all actions through the end of the 129th Legislature, the December 2020 Revenue Forecast, the Governor's Proposed Emergency FY 21 Supplemental Budget (LD ) and the Governor's Proposed 2022-2023 Biennial Budget (LD ).
- <sup>2</sup> For the purposes of this summary, transfers out are treated as an expenditure/use and are positive amounts, while transfers in are negative amounts.
- <sup>3</sup> The estimated 2022-2023 Baseline Budget reflects FY 22 and FY 23 fiscal note estimates for 129th Legislature enacted bills (i.e., excluding one-time FY 21 allocations and deallocations, adding estimated growth for personal services allocations).

Notes about the data above.