1	L.D. 1216
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3	JUDICIARY
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5	STATE OF MAINE
6	HOUSE OF REPRESENTATIVES
7	128TH LEGISLATURE
8	FIRST REGULAR SESSION
9 10 11	COMMITTEE AMENDMENT " " to H.P. 848, L.D. 1216, Bill, "An Act To Clarify the Law Regarding Arbitration Privacy with Respect to Executive and Legislative Branch Employees"
12	Amend the bill by striking out the title and substituting the following:
13 14	'An Act To Clarify the Law Regarding Arbitration Privacy with Respect to Public Employees'
15	Amend the bill by inserting after section 1 the following:
16 17	'Sec. 2. 30-A MRSA §503, sub-§1, ¶B, as amended by PL 1997, c. 770, §2, is further amended to read:
18	B. County records containing the following:
19 20	(1) Medical information of any kind, including information pertaining to the diagnosis or treatment of mental or emotional disorders;
21	(2) Performance evaluations and personal references submitted in confidence;
22	(3) Information pertaining to the creditworthiness of a named employee;
23 24	(4) Information pertaining to the personal history, general character or conduct of members of an employee's immediate family; and
25 26 27 28 29 30 31 32 33	(5) Complaints, charges or accusations of misconduct, replies to those complaints, charges or accusations and any other information or materials that may result in disciplinary action. If disciplinary action is taken, the final written decision relating to that action is no longer confidential after the decision is completed if it imposes or upholds discipline. If an arbitrator completely overturns or removes disciplinary action from an employee personnel file, the final written decision is public except that the employee's name must be deleted from the final written decision and kept confidential. If the employee whose name was deleted from the final written decision discloses that the employee is

2	report, with regard to that employee, is public.
3	For purposes of this subparagraph, "final written decision" means:
4 5	(a) The final written administrative decision that is not appealed pursuant to a grievance arbitration procedure; or
6 7	(b) If the final written administrative decision is appealed to arbitration, the final written decision of a neutral arbitrator.
8 9 10 11	A final written administrative decision that is appealed to arbitration is no longer confidential 120 days after a written request for the decision is made to the employer if the until a final written decision of the neutral arbitrator is not issued and released before the expiration of the 120 days; and
12 13	<b>Sec. 3. 30-A MRSA §2702, sub-§1, ¶B,</b> as amended by PL 1997, c. 770, §3, is further amended to read:
14 15	B. Municipal records pertaining to an identifiable employee and containing the following:
16 17	<ol> <li>Medical information of any kind, including information pertaining to diagnosis or treatment of mental or emotional disorders;</li> </ol>
18	(2) Performance evaluations and personal references submitted in confidence;
19	(3) Information pertaining to the creditworthiness of a named employee;
20 21	(4) Information pertaining to the personal history, general character or conduct of members of an employee's immediate family; and
22 23 24 25	(5) Complaints, charges or accusations of misconduct, replies to those complaints, charges or accusations and any other information or materials that may result in disciplinary action. If disciplinary action is taken, the final written decision relating to that action is no longer confidential after the decision is completed if it imposes or upholds discipline. The decision must state the
26 27 28 29	conduct or other facts on the basis of which disciplinary action is being imposed and the conclusions of the acting authority as to the reasons for that action. If ar arbitrator completely overturns or removes disciplinary action from an employee
30 31	personnel file, the final written decision is public except that the employee's name must be deleted from the final written decision and kept confidential. If the
32 33 34	employee whose name was deleted from the final written decision discloses that the employee is the person who is the subject of the final written decision, the entire final written report, with regard to that employee, is public.
35	For purposes of this subparagraph, "final written decision" means:
36 37	(a) The final written administrative decision that is not appealed pursuant to a grievance arbitration procedure; or
38 39	(b) If the final written administrative decision is appealed to arbitration, the final written decision of a neutral arbitrator.

A final written administrative decision that is appealed to arbitration is no longer
confidential 120 days after a written request for the decision is made to the
employer if the until a final written decision of the neutral arbitrator is not issued
and released before the expiration of the 120 days; and'

5 SUMMARY

This amendment is the majority report of the Joint Standing Committee on Judiciary. The bill addresses confidentiality of disciplinary actions appealed to arbitration affecting state employees. The amendment extends the same confidentiality to county and municipal employees. Disciplinary actions concerning state, county and municipal employees remain confidential if an action is appealed to arbitration until the arbitration decision is final and released.